

# Sociology 551: Stratification and Class

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Fall 2007

Class: Social Sciences 415, M 9:00–11:30  
Office hours: Social Sciences 440, Tu 10:00–12:00  
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## Course Description

This seminar explores processes of stratification in the United States and other affluent countries. Much sociological research in stratification examines how people are allocated to positions in the distribution of occupations, earnings, and income. Research in this tradition assesses the effects on attainment of factors such as education, intelligence, family background, neighborhood conditions, gender, race, industry of employment, union membership, noncognitive traits and behaviors, aspirations, and ties/connections. Individuals or households are the unit of analysis. While we will consider some of these issues, this course will focus on the structure of positions rather than the people that occupy them. Research following this approach examines the causes of over-time trends and cross-country differences in the degree of poverty and inequality, with countries (sometimes regions, states, or counties) as the unit of analysis. We also will spend several weeks on policy responses to poverty and inequality.

## Requirements

**Readings.** The course readings are available at [eres.library.arizona.edu](http://eres.library.arizona.edu). The password is *soc551*.

**Presentation.** Each student will make one in-class presentation during the semester, on the readings for a given week. Use the standard conference presentation as your model: about 20 minutes, with visual aids (PowerPoint or overheads). The objective of the presentation is to highlight one or more of the central issues addressed in the readings, to locate the authors' positions vis-à-vis those issues, and to comment critically on the state of the debate and the value of the individual contributions to it. Of course, you probably won't be able to cover all of the conceivable issues and need not place equal emphasis on each of the readings. Avoid lengthy introductions and summaries of the readings. The presentation should be *organized around an argu-*

*ment* — a statement about the most fruitful way to study the topic at hand, an adoption of a particular position in a debate, or a critique of some existing line of argument. If you don't have an argument, get one!

**Paper.** A roughly 7,500-word paper on a topic of your choice related to the course material. Due date: Monday, December 10. Late papers will be penalized one grade level (e.g., from A to A-minus). You must get your topic approved by me no later than November 12. The paper can be either of the following:

- An empirical study. This can be a new analysis or a replication/reanalysis of a prior empirical study.
- A proposal for an empirical study. This should include (1) a theory/hypotheses section that discusses various theoretical perspectives and lists propositions about the empirical outcomes that would be predicted by those perspectives and (2) a detailed description of the data and methods you would use to answer your research question.

**Grading.** Class participation will account for 50% of the course grade. The presentation and paper will each account for 25%.

## Schedule

Aug. 20 Introduction

This class meeting will consist largely of an introduction to a variety of quantitative data sets available for use in analyzing stratification issues:

- Census Bureau data: [www.census.gov](http://www.census.gov) and [www.ipums.org](http://www.ipums.org)
- Current Population Survey (CPS): [www.bls.census.gov/cps/cpsmain.htm](http://www.bls.census.gov/cps/cpsmain.htm)
- Survey of Income and Program Participation (SIPP): [www.sipp.census.gov/sipp/index.html](http://www.sipp.census.gov/sipp/index.html)
- Panel Study of Income Dynamics (PSID): [psidonline.isr.umich.edu](http://psidonline.isr.umich.edu)
- National Longitudinal Survey of Youth (NLSY): [www.bls.gov/nls/home.htm](http://www.bls.gov/nls/home.htm)
- Wisconsin Longitudinal Study (WLS): [www.ssc.wisc.edu/wlsresearch](http://www.ssc.wisc.edu/wlsresearch)
- Luxembourg Income Study (LIS): [www.lisproject.org](http://www.lisproject.org)
- General Social Survey (GSS): [www.gss.norc.org](http://www.gss.norc.org)
- International Social Survey Program (ISSP): [www.issp.org](http://www.issp.org)

If you have no prior familiarity with regression analysis, read the first two chapters of Paul Allison's *Multiple Regression: A Primer* (available on *E-reserve*).

## Part I Poverty and Inequality

Aug. 27 Is the United States a High-Poverty Country?

- Brady, David. 2003. "Rethinking the Sociological Measurement of Poverty." *Social Forces* 81: 715-752.
- Smeeding, Timothy. 2006. "Poor People in Rich Nations: The United States in Comparative Perspective." *Journal of Economic Perspectives* 20(1): 69-90.
- Kenworthy, Lane, Jessica Epstein, and Daniel Duerr. 2007. "Rising Tides, Redistribution, and the Material Well-Being of the Poor." Unpublished. Pp. 1-10.
- Wikipedia. 2007. "Human Poverty Index." Available at: [www.wikipedia.com](http://www.wikipedia.com).
- Schmitt, John and Ben Zipperer. 2006. "Is the U.S. a Good Model for Reducing Social Exclusion in Europe?" Center for Economic and Policy Research.
- *Recommended*: Sen, Amartya. 1998. *Development as Freedom*. Oxford: Oxford University Press. Introduction and chapters 1-4.
- *Recommended*: Goodin, Robert E. 2001. "Work and Welfare: Towards a Post-Productivist Welfare Regime." *British Journal of Political Science* 31: 13-39.
- *Recommended*: Atkinson, Tony, Bea Cantillon, Eric Marlier, and Brian Nolan. 2002. *Social Indicators: The EU and Social Inclusion*. Oxford: Oxford University Press.
- *Recommended*: Osberg, Lars and Andrew Sharpe. 2002. "An Index of Economic Well-Being for Selected OECD Countries." *Review of Income and Wealth* 48: 291-316.
- *Recommended*: Rainwater, Lee and Timothy M. Smeeding. 2003. *Poor Kids in a Rich Country*. New York: Russell Sage Foundation.
- *Recommended*: Kahneman, Daniel, Alan B. Krueger, David Schkade, Norbert Schwarz, and Arthur Stone. 2004. "Toward National Well-Being Accounts." *American Economic Review* 94 (Papers and Proceedings): 429-434.
- *Recommended*: Sierminska, Eva, Andrea Brandolini, and Timothy Smeeding. 2006. "Comparing Wealth Distribution Across Rich Countries: First Results from the Luxembourg Wealth Study." Working Paper 1. Luxembourg Wealth Study. Available at: [www.lisproject.org](http://www.lisproject.org).
- *Recommended*: Zuberi, Dan. 2006. *Differences That Matter: Social Policy and the Working Poor in the United States and Canada*. Ithaca, NY: ILR Press.

Sept. 3 No class: Labor Day

Sept. 10 Are the Poor Truly Deprived?

- Iceland, John and Kurt Bauman. 2007. "Income Poverty and Material Hardship." *Journal of Socio-Economics* 36: 376-396.

- Rector, Robert E. and Kirk A. Johnson. 2004. "Understanding Poverty in America." Backgrounder 1713. Heritage Foundation. Washington, DC.
- Boarini, Romina and Marco Mira d'Ercole. 2006. "Measures of Material Deprivation in OECD Countries." OECD Social, Employment, and Migration Working Paper 37. Available at: [www.oecd.org/els/workingpapers](http://www.oecd.org/els/workingpapers).
- Caner, Asena and Edward N. Wolff. 2004. "Asset Poverty in the United States, 1984-1999." *Challenge*, January-February: 5-52.
- *Recommended:* Ringen, Stein. 1988. "Direct and Indirect Measures of Poverty." *Journal of Social Policy* 17: 351-365.
- *Recommended:* Mayer, Susan E. and Christopher Jencks 1989. "Poverty and the Distribution of Material Hardship." *Journal of Human Resources* 24: 88-114.
- *Recommended:* Mayer, Susan E. 1993. "Living Conditions Among the Poor in Four Rich Countries." *Journal of Population Economics* 6: 261-286.
- *Recommended:* Nolan, Brian and Christopher T. Whelan. 1996. *Resources, Deprivation, and Poverty*. Oxford: Clarendon Press.
- *Recommended:* Edin, Kathryn and Laura Lein. 1997. *Making Ends Meet: How Single Mothers Survive Welfare and Low-Wage Work*. New York: Russell Sage Foundation.
- *Recommended:* Gordon, David, Ruth Levitas, Christina Pantazis, Demi Patsios, Sarah Payne, Peter Townsend, Laura Adelman, Karl Ashworth, Sue Middleton, Jonathan Bradshaw, and Julie Williams. 2000. *Poverty and Social Exclusion in Britain*. York: Joseph Rowntree Foundation.
- *Recommended:* Boushey, Heather, Chauna Brocht, Bethney Gundersen, and Jared Bernstein. 2001. *Hardships in America*. Washington, DC: Economic Policy Institute.
- *Recommended:* Ehrenreich, Barbara. 2001. *Nickel and Dimed: On (Not) Getting By in America*. New York: Henry Holt and Company.
- *Recommended:* Slesnick, Daniel. 2001. *Consumption and Social Welfare*. Cambridge: Cambridge University Press.
- *Recommended:* Johnson, David. 2004. "Measuring Consumption and Consumption Poverty: Possibilities and Issues." Unpublished.
- *Recommended:* U.S. Department of Health and Human Services. 2004. "Measures of Material Hardship: Final Report." Available at: [aspe.hhs.gov/hsp/material-hardship04](http://aspe.hhs.gov/hsp/material-hardship04).
- *Recommended:* Short, Kathleen S. 2005. "Material and Financial Hardship and Income-Based Poverty Measures in the USA." *Journal of Social Policy* 34: 21-38.

Sept. 17 Is Poverty Only Temporary?

- Rank, Mark. 2004. *One Nation, Underprivileged*. Oxford: Oxford University Press. Pp. 17-30, 49-82, and 88-95.
- Connolly, Helen, Peter Gottschalk, and Katherine S. Newman. 2006. "The National Picture." Chapter 5 in Newman, *Chutes and Ladders: Navigating the*

*Low-Wage Labor Market*. New York and Cambridge, MA: Russell Sage Foundation and Harvard University Press.

- Hills, John. 2004. "Income Dynamics and Social Mobility." Chapter 5 in *Inequality and the State*. Oxford: Oxford University Press.
- Burniaux, Jean-Marc, Flavio Padrini, and Nicola Brandt. 2006. "Labour Market Performance, Income Inequality, and Poverty in OECD Countries." Working Paper 500. Economics Department, OECD. Available at: [www.oecd.org](http://www.oecd.org). Focus on pp. 15ff.
- Whelan, Christopher T., Richard Layte, and Bertrand Maitre. 2004. "Understanding the Mismatch between Income Poverty and Deprivation: A Dynamic Comparative Analysis." *European Sociological Review* 20: 287-302.
- *Recommended*: Duncan, Greg, Bjorn Gustafsson, Richard Hauser, Gunther Schmaus, Stephen Jenkins, Hans Messinger, Ruud Muffels, Brian Nolan, Jean-Claude Ray, and Wolfgang Voges. 1995. "Poverty and Social-Assistance Dynamics in the United States, Canada, and Europe." Pp. 67-108 in *Poverty, Inequality, and the Future of Social Policy*, edited by Katherine McFate, Roger Lawson, and William Julius Wilson. New York: Russell Sage Foundation.
- *Recommended*: OECD. 2001. "When Money Is Tight: Poverty Dynamics in OECD Countries." Pp. 37-87 in *Employment Outlook*. Paris: OECD.
- *Recommended*: Newman, Katherine S. 2006. *Chutes and Ladders: Navigating the Low-Wage Labor Market*. New York and Cambridge, MA: Russell Sage Foundation and Harvard University Press.
- *Recommended*: Valletta, Robert G. 2006. "The Ins and Outs of Poverty in Advanced Economies: Government Policy and Poverty Dynamics in Canada, Germany, Great Britain, and the United States." *Review of Income and Wealth* 52: 261-284.

#### Sept. 24 Why Hasn't Poverty Decreased?

- Haskins, Ron and Isabel Sawhill. 2007. "Using Carrots and Sticks." *The American Prospect*, May: A13-A15.
- Blank, Rebecca. 2000. "Fighting Poverty: Lessons from Recent U.S. History." *Journal of Economic Perspectives* 14: 3-19.
- Hoynes, Hilary W., Marianne E. Page, and Ann Huff Stevens. 2006. "Poverty in America: Trends and Explanations." *Journal of Economic Perspectives* 20(1): 47-68.
- Mishel, Lawrence, Jared Bernstein, and Sylvia Allegretto. 2007. *The State of Working America, 2006-07*. An Economic Policy Institute book. Ithaca, NY: ILR Press. Chapter 6.
- Iceland, John, Lane Kenworthy, and Melissa Scopilliti. 2007. "Macroeconomic Performance and Poverty in the 1980s and 1990s: A State-Level Analysis." Unpublished.
- Jencks, Christopher, Joe Swingle, and Scott Winship. 2006. "Welfare Redux." *The American Prospect*, March, 36-40.

- *Recommended:* Citro, Constance F. and Robert T. Michael, eds. 1995. *Measuring Poverty: A New Approach*. Washington, DC: National Academy Press.
- *Recommended:* Cancion, Maria and Deborah Reed. 2001. "Changes in Family Structure: Implications for Poverty and Related Research." Pp. 69-96 in *Understanding Poverty*, edited by Sheldon H. Danziger and Robert H. Haveman. New York and Cambridge, MA: Russell Sage Foundation and Harvard University Press.
- *Recommended:* Freeman, Richard B. 2001. "The Rising Tide Lifts ...?" Pp. 97-126 in *Understanding Poverty*, edited by Sheldon H. Danziger and Robert H. Haveman. New York and Cambridge, MA: Russell Sage Foundation and Harvard University Press.
- *Recommended:* Sawhill, Isabel and Adam Thomas. 2001. "A Hand Up for the Bottom Third." Unpublished. Brookings Institution. Available at: [www.brook.edu/views/papers/sawhill/20010522.pdf](http://www.brook.edu/views/papers/sawhill/20010522.pdf).
- *Recommended:* Iceland, John. 2003. *Poverty in America*. Berkeley: University of California Press.
- *Recommended:* Jencks, Christopher, Susan E. Mayer, and Joseph Swingle. 2004. "Can We Fix the Federal Poverty Measure So It Provides Reliable Information About Changes in Children's Living Conditions?" Unpublished.
- *Recommended:* Samuelson, Robert J. 2004. "A Poverty Issue Left Untouched." *Washington Post*, October 13.

Oct. 1 Does Mobility Compensate for High Inequality?

- Brandolini, Andrea and Timothy M. Smeeding. 2006. "Inequality: International Evidence." In *The New Palgrave Dictionary of Economics*, edited by S.N. Durlauf and L.E. Blume. Basingstoke: Palgrave Macmillan.
- Gangl, Markus, Joakim Palme, and Lane Kenworthy. 2007. "Is High Inequality Offset by Mobility?" Unpublished.
- Gottschalk, Peter and Sheldon Danziger. 1998. "Family Income Mobility — How Much Is There and Has It Changed?" Pp. 92-111 in *The Inequality Paradox*, edited by James A. Auerbach and Richard S. Belous. Washington, DC: National Academy Press.
- Mishel, Lawrence, Jared Bernstein, and Sylvia Allegretto. 2007. *The State of Working America, 2006-07*. Ithaca, NY: ILR Press. Pp. 105-108.
- *Recommended:* Kenworthy, Lane. 2007. "Sources of Equality and Inequality: Wages, Jobs, Households, and Redistribution." Chapter 3 in *Jobs with Equality*. Unpublished.
- *Recommended:* DiPrete, Thomas A. 2002. "Life Course Risks, Mobility Regimes, and Mobility Consequences: A Comparison of Sweden, Germany, and the United States." *American Journal of Sociology* 108: 267-309.
- *Recommended:* Gangl, Markus. 2005. "Income Inequality, Permanent Incomes, and Income Dynamics: Comparing Europe to the United States." *Work and Occupations* 32: 140-1162.

- *Recommended:* Bernhardt, Annette, Martina Morris, Mark S. Handcock, and Marc A. Scott. 2001. *Divergent Paths: Economic Mobility in the New American Labor Market*. New York: Russell Sage Foundation.

Oct. 8 Is Rising Inequality Due Mainly to an Explosion at the Top?

- Mishel, Lawrence, Jared Bernstein, and Sylvia Allegretto. 2007. *The State of Working America, 2006-07*. Ithaca, NY: ILR Press. Chapter 1, pp. 202-207, pp. 344-349.
- Piketty, Thomas and Emmanuel Saez. 2006. "The Evolution of Top Incomes: A Historical and International Perspective." *American Economic Review* 96 (Papers and Proceedings): 200-205.
- Frank, Robert H. and Philip J. Cook. 1995. *The Winner-Take-All Society*. New York: Penguin. Chapters 1 (pp. 1-7), 4, 5.
- Kenworthy, Lane. 2004. "Rising Inequality Not a Surge at the Top." *Challenge*, September-October: 51-55.
- Alderson, Arthur S., Jason Beckfield, and François Nielsen. 2005. "Exactly How Has Income Inequality Changed? Patterns of Distributional Change in Core Societies." *International Journal of Comparative Sociology* 45: 405-423.
- *Recommended:* Krugman, Paul. 2002. "For Richer." *New York Times Magazine*, October 20.
- *Recommended:* Atkinson, Anthony B. 2003. "Income Inequality in OECD Countries: Data and Explanations." *CESifo Economic Studies* 49: 479-513.
- *Recommended:* Piketty, Thomas and Emmanuel Saez. 2004. "Income Inequality in the United States, 1913-2002." Unpublished. Available at: [elsa.berkeley.edu/~saez](http://elsa.berkeley.edu/~saez).
- *Recommended:* Kaplan, Steven N. and Joshua Rauh. 2007. "Wall Street and Main Street: What Contributes to the Rise in Highest Incomes?" Working Paper 13270. National Bureau of Economic Research.

Oct. 15 How Has the Middle Class Fared?

- Rose, Stephen, Lawrence Mishel, et al. 2006. Exchange on the Middle Class. *The American Prospect* online.
- Cohen, Stephen S. and J. Bradford DeLong. 2005. "Shaken and Stirred." *The Atlantic Monthly*, January-February, 112-117.
- Warren, Elizabeth. 2007. "The Vanishing Middle Class." Pp. 38-52 in *Ending Poverty in America*, edited by John Edwards, Marion Crain, and Arne L. Kalleberg. New York: New Press.
- Hacker, Jacob S. 2006. "The Risky Outlook for Middle-Class America." Pp. 66-76 in *Ending Poverty in America*, edited by John Edwards, Marion Crain, and Arne L. Kalleberg. New York: New Press.
- Frank, Robert H. 2005. "Positional Externalities Cause Large and Preventable Welfare Losses." *American Economic Review* 95 (Papers and Proceedings): 137-141.

- *Recommended*: Myers, Dowell. 2004. "Cohorts and Socioeconomic Progress." Russell Sage Foundation and Population Reference Bureau.
- *Recommended*: Hacker, Jacob S. 2006. *The Great Risk Shift*. Oxford: Oxford University Press.
- *Recommended*: Uchitelle, Louis. 2006. *The Disposable American*. New York: Knopf.
- *Recommended*: Weller, Christian E. 2006. "The Middle Class Falls Back." *Challenge*, January-February: 16-43.
- *Recommended*: Congressional Budget Office. 2007. "Trends in Earnings Variability Over the Past 20 Years." Unpublished.
- *Recommended*: Frank, Robert H. 2007. *Falling Behind: How Rising Inequality Harms the Middle Class*. Berkeley: University of California Press.

## Part II Policy

### Oct. 22 Can Government Help?

- Moller, Stephanie, David Bradley, Evelyne Huber, François Nielsen, and John D. Stephens. 2003. "Determinants of Relative Poverty in Advanced Capitalist Democracies." *American Sociological Review* 68: 22-51.
- Scruggs, Lyle and James P. Allan. 2006. "The Material Consequences of Welfare States: Benefit Generosity and Absolute Poverty in 16 OECD Countries." *Comparative Political Studies* 39: 880-904.
- Kenworthy, Lane. 2004. "An Equality-Incomes Tradeoff?" Chapter 6 in *Egalitarian Capitalism*. New York: Russell Sage Foundation.
- Kenworthy, Lane, Jessica Epstein, and Daniel Duerr. 2007. "Rising Tides, Redistribution, and the Material Well-Being of the Poor." Unpublished. Pp. 11ff.
- *Recommended*: Murray, Charles. 1984. *Losing Ground: American Social Policy, 1950-1980*. New York: Basic Books. Chapters 4, 12, 16.
- *Recommended*: Esping-Andersen, Gøsta. 1990. *The Three Worlds of Welfare Capitalism*. Princeton, NJ: Princeton University Press. Chapter 3.
- *Recommended*: Jencks, Christopher. 1992. *Rethinking Social Policy*. Cambridge, MA: Harvard University Press. Introduction and chapter 2.
- *Recommended*: Blank, Rebecca. 1997. *It Takes a Nation: A New Agenda for Fighting Poverty*. New York and Princeton, NJ: Russell Sage Foundation and Princeton University Press.
- *Recommended*: Smeeding, Timothy M. 1998. "U.S. Income Inequality in a Cross-National Perspective: Why Are We So Different?" Pp. 194-217 in *The Inequality Paradox*, edited by James A. Auerbach and Richard S. Belous. Washington, DC: National Policy Association.
- *Recommended*: Goodin, Robert E., Bruce Headey, Ruud Muffels, and Henk-Jan Dirven. 1999. *The Real Worlds of Welfare Capitalism*. Cambridge: Cambridge University Press.

- *Recommended*: Kenworthy, Lane. 1999. "Do Social-Welfare Policies Reduce Poverty? A Cross-National Assessment." *Social Forces* 77: 1119-1139.
- *Recommended*: Kim, Hwanjoon. 2000. "Anti-Poverty Effectiveness of Taxes and Income Transfers in Welfare States." *International Social Security Review* 53(4): 105-129.
- *Recommended*: Wolff, Edward N. 2002. *Top Heavy*. Updated edition. New York: New Press.
- *Recommended*: Esping-Andersen, Gøsta, et al. 2003. *Why We Need a New Welfare State*. Oxford: Oxford University Press.
- *Recommended*: DeFina, Robert H. and Kishor Thanawala. 2004. "International Evidence on the Impact of Transfers and Taxes on Alternative Poverty Indexes." *Social Science Research* 33: 322-338.
- *Recommended*: Myles, John. 2005. "Egalitarian Politics in Hard Times: Can Welfare States Still Promote Equality?" Titmuss Lecture, Hebrew University.
- *Recommended*: Smeeding, Timothy. 2005. "Government Programs and Social Outcomes: The United States in Comparative Perspective." Working Paper 426. Luxembourg Income Study. Available at: [www.lisproject.org](http://www.lisproject.org).
- *Recommended*: Callahan, David. 2007. "False Choices on Poverty." *The American Prospect*, May: A12-A13.
- *Recommended*: Center for American Progress (CAP). 2007. *From Poverty to Prosperity: A National Strategy to Cut Poverty in Half*. Washington, DC: CAP. Available at: [www.americanprogress.org](http://www.americanprogress.org).

Oct. 29 Benefits: Universalism vs. Targeting

- Korpi, Walter and Joakim Palme. 1998. "The Paradox of Redistribution and Strategies of Equality: Welfare State Institutions, Inequality, and Poverty in the Western Countries." *American Sociological Review* 63: 661-687.
- Whiteford, Peter. 2007. "Targeting, Redistribution, and Poverty Reduction in OECD Countries." Unpublished.
- Nelson, Kenneth. 2007. "Universalism versus Targeting: The Vulnerability of Social Insurance and Means-Tested Minimum Income Protection in 18 Countries, 1990-2002." *International Social Security Review* 60: 33-58.
- Howard, Christopher. 2007. "Programs for the Poor Are Not Always Poor Programs." Chapter 5 in *The Welfare State Nobody Knows*. Princeton, NJ: Princeton University Press.
- Kenworthy, Lane. 2005. "Is Targeting Inferior?" Unpublished.
- *Recommended*: Rothstein, Bo. 1998. *Just Institutions Matter: The Moral and Political Logic of the Universal Welfare State*. Cambridge: Cambridge University Press.
- *Recommended*: Skocpol, Theda. 1991. "Targeting within Universalism: Politically Viable Policies to Combat Poverty in the United States." Pp. 411-436 in *The Urban Underclass*, edited by Christopher Jencks and Paul E. Peterson. Washington, DC: Brookings Institution.
- *Recommended*: Greenstein, Robert. 1991. "Universal and Targeted Approaches to Relieving Poverty: An Alternative View." Pp. 437-459 in *The*

*Urban Underclass*, edited by Christopher Jencks and Paul E. Peterson. Washington, DC: Brookings Institution.

Nov. 5 Tax Progressivity and Tax Revenues

- Kenworthy, Lane. 2007. "Taxes." Chapter 8 in *Jobs with Equality*. Unpublished.
- Piketty, Thomas and Emmanuel Saez. 2007. "How Progressive is the U.S. Federal Tax System? A Historical and International Perspective." *Journal of Economic Perspectives* 21(1): 3-24.
- Lindert, Peter. 2004. "Keys to the Free-Lunch Puzzle." Pp. 227-263 in *Growing Public: Social Spending and Economic Growth Since the Eighteenth Century*. Cambridge: Cambridge University Press.
- Ganghof, Steffen. 2006. "Tax Mixes and the Size of the Welfare State: Causal Mechanisms and Policy Implications." *Journal of European Social Policy* 16: 360-373.
- *Recommended*: Kato, Junko. 2003. *Regressive Taxation and the Welfare State*. Cambridge: Cambridge University Press.
- *Recommended*: Center for American Progress (CAP). 2005. "A Fair and Simple Tax System for Our Future." Washington, DC: CAP.
- *Recommended*: Garfinkle, Norton. 2007. *The American Dream versus the Gospel of Wealth*. New Haven, CT: Yale University Press.
- *Recommended*: Hines Jr., James R. 2007. "Taxing Consumption and Other Sins." *Journal of Economic Perspectives* 21(1): 49-68.

Nov. 12 No class: Veterans Day

Nov. 19 Active Social Policy

- Sperling, Gene. 2005. *The Pro-Growth Progressive: An Economic Strategy for Shared Prosperity*. New York: Simon and Schuster. Chapters 1, 3, 4.
- Holzer, Harry J. 2007. "Education and Training for Less Affluent Americans in the New Economy." Pp. 125-136 in *Ending Poverty in America*, edited by John Edwards, Marion Crain, and Arne L. Kalleberg. New York: New Press.
- Bartik, Timothy J. 2003. *Jobs for the Poor: Can Labor Demand Policies Help?* New York: Russell Sage Foundation. Chapters 1, 10.
- Kenworthy, Lane. 2007. "Government Benefits." Chapter 7 in *Jobs with Equality*. Unpublished. Focus on pp. 19-35.
- Goering, John and Judith D. Feins. 2003. *Choosing a Better Life? Evaluating the Moving to Opportunity Social Experiment*. Washington, DC: Urban Institute Press.
- *Recommended*: Stoker, Robert P. and Laura A. Wilson. 2006. Pp. 1-2, 87-111, 129-144 in *When Work Is Not Enough: State and Federal Policies to Support Needy Workers*. Washington, DC: Brookings Institution.

- *Recommended:* Freeman, Richard B. 2007. "The Great Doubling: The Challenge of the New Global Labor Market." Pp. 55-65 in *Ending Poverty in America*, edited by John Edwards, Marion Crain, and Arne L. Kalleberg. New York: New Press.

Nov. 26 Early Education and Care

- Esping-Andersen, Gøsta. 2007. "Equal Opportunities and the Welfare State." *Contexts*, Summer: 23-27.
- Carneiro, Pedro and James J. Heckman. 2003. "Human Capital Policy." Pp. 77-239 in *Inequality in America: What Role for Human Capital Policies*, edited by Benjamin M. Friedman. Cambridge, MA: MIT Press.
- Lee, Valerie E. and David T. Burkam. 2002. *Inequality at the Starting Gate: Social Background Differences in Achievement as Children Begin School*, Washington, DC: Economic Policy Institute.
- Mayer, Susan E. 1996. *What Money Can't Buy: Family Income and Children's Life Chances*. Cambridge, MA: Harvard University Press. Chapters 1, 3, 9.
- *Recommended:* Herrnstein, Richard J. and Charles Murray. 1994. *The Bell Curve*, pp. 1-24, 91-115, 117-125, 127-142. New York: Free Press.
- *Recommended:* MacLeod, Jay. 1995. *Ain't No Makin' It: Aspirations and Attainment in a Low-Income Neighborhood*. Second edition. Boulder, CO: Westview Press.
- *Recommended:* Corcoran, Mary. 1995. "Rags to Rags: Poverty and Mobility in the United States." *Annual Review of Sociology* 21: 237-267.
- *Recommended:* Fischer, Claude, Michael Hout, Martin Sanchez Jankowski, Samuel R. Lucas, Ann Swidler, and Kim Voss. 1996. Chapters 1-3 in *Inequality by Design: Cracking the Bell Curve Myth*, pp. 3-69. Princeton, NJ: Princeton University Press.
- *Recommended:* Wilson, William Julius. 1996. *When Work Disappears: The World of the New Urban Poor*. New York: Knopf. Introduction and chapters 1-5.
- *Recommended:* Duncan, Greg J. and Jeanne Brooks-Gunn, eds. 1997. *Consequences of Growing Up Poor*. New York: Russell Sage Foundation.
- *Recommended:* Conley, Dalton. 1999. *Being Black, Living in the Red: Race, Wealth, and Social Policy in America*. Berkeley: University of California Press. Chapters 1-2.
- *Recommended:* Newman, Katherine S. 1999. "Getting a Job in the Inner City." Chapter 3 in *No Shame in My Game: The Working Poor in the Inner City*. New York: Russell Sage Foundation and Vintage Books.
- *Recommended:* Ashenfelter, Orley and Cecilia Rouse. 2000. "Schooling, Intelligence, and Income in America." Pp. 89-117 in *Meritocracy and Economic Inequality*, edited by Kenneth Arrow, Samuel Bowles, and Steven Durlauf. Princeton, NJ: Princeton University Press.
- *Recommended:* Corcoran, Mary. 2001. "Mobility, Persistence, and the Consequences of Poverty for Children: Child and Adult Outcomes." Pp. 127-189 in

*Understanding Poverty*, edited by Sheldon H. Danziger and Robert H. Haveman. New York and Cambridge, MA: Russell Sage Foundation and Harvard University Press.

- *Recommended*: Esping-Andersen, Gøsta. 2004. "Unequal Opportunities and the Mechanisms of Social Inheritance." Pp. 289-314 in *Generational Income Mobility in North America and Europe*, edited by Miles Corak. Cambridge: Cambridge University Press.
- *Recommended*: Breen, Richard and Jon O. Jonsson. 2005. "Inequality of Opportunity in Comparative Perspective: Recent Research on Educational Attainment and Social Mobility." *Annual Review of Sociology* 31: 223-243.
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